## UNITED STATES DISTRICT COURT

for the

Southern District of New York

Commodity Futures Trading Commission	) ) )
Plaintiff(s)  V.	- ) ) Civil Action No. 22-CV-3401
Archegos Capital Management, L.P., and Patrick Halligan,	) ) _ )
Defendant(s)	)

## **SUMMONS IN A CIVIL ACTION**

To: (Defendant's name and address)

Patrick Halligan 80 Twin Ponds Ln Syosset, NY 11791

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney,

whose name and address are:

Commodity Futures Trading Commission c/o Steven Ringer, Alejandra de Urioste, John C. Murphy, Benjamin Rankin, Jacob Mermelstein 290 Broadway, 6th Floor New York, NY 10007

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 4/28/2022 /S/ V. BRAHIMI
Signature of Clerk or Deputy Clerk

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Civil Action No. 22-CV-3401

## PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (1))

	This summons for (nan	me of individual and title, if an	y)			
was red	ceived by me on (date)					
	☐ I personally served the summons on the individual at (place)					
	on (date)			; or		
	☐ I left the summons at the individual's residence or usual place of abode with (name), a person of suitable age and discretion who resides there,					
	on (date), and mailed a copy to the individual's last known address; or  \[ \begin{align*} \text{ I served the summons on (name of individual)} & \text{ when the summons on behalf of (name of organization)} \]  \[ \text{designated by law to accept service of process on behalf of (name of organization)} \]					
		on (date)		; or		
	☐ I returned the summons unexecuted because			; or		
	☐ Other (specify):					
	My fees are \$	for travel and \$	for services, for a total of \$	0.00		
	I declare under penalty of perjury that this information is true.					
Date:		_				
	Server's signature					
			Printed name and title			
		-	Server's address			

Additional information regarding attempted service, etc: